

Estimation of Characteristic Period for Energy Based Seismic Design

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Abstract. Estimation of input energy using approximate methods has been always a considerable research topic of energy based seismic design. Therefore several approaches have been proposed by many researchers to estimate the energy input to SDOF systems in the last decades. The characteristic period is the key parameter of most of these approaches and it is defined as the period at which the peak value of the input energy occurs. In this study an equation is proposed for estimating the characteristic period considering an extensive earthquake ground motion database which includes a total of 268 far-field records, two horizontal components from 134 recording stations located on firm soil sites. For this purpose statistical regression analyses are performed to develop an equation in terms of a number of structural parameters, and it is found that the developed equation yields satisfactory results comparing the characteristic periods calculated from time history analyses of SDOF systems.

Keywords: predominant period, characteristic period, seismic energy

INTRODUCTION

Estimation of input energy using approximate methods has been always a considerable research topic of energy based seismic design. For SDOF systems, input energy spectrum can be investigated by dividing the spectrum into two characteristic parts (Fig.1). For the systems which have shorter periods than the period at which the peak value of spectral input energy occurs, an ascending-linear spectral shape can be assumed, while a descending-curved spectral shape can be assumed for the systems which have longer periods. Thus, the period at which the peak value of spectral input energy occurs – which is called *characteristic period* in the remaining part of the paper - is the key parameter in such method of approaches.

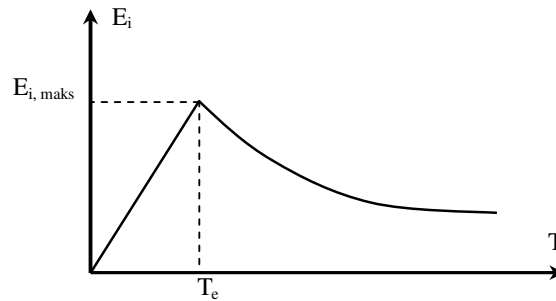


FIGURE 1. Input energy spectrum (drawn schematically).

It is worth to note that the characteristic period can be assumed to coincide with the predominant period of ground motion. Such an approach has also an analytical meaning since it is known that for undamped systems, equivalent input energy velocity spectrum equals to the Fourier amplitude spectrum of the ground acceleration (Eq. 1)[1,2].

$$V_e = |\text{FS}(\omega)| = \sqrt{\frac{2E_i}{m}} \quad (1)$$

However, for a given ground motion, there is no unique characteristic period as it depends on the lateral strength of the system and, to a lesser extent, on the damping of the system [3]. But it is found that the change in lateral strength has not significant affect on characteristic period, thus for the approach proposed in this paper it is neglected and characteristic period is assumed as the period at which the peak value of %5 damped elastic spectral input energy occurs.

Statistical Regression

To obtain a reliable statistical evaluation of characteristic period T_e , regression analyses are carried out considering 268 far-field earthquake ground acceleration records (two horizontal components from 134 recording stations located on firm soil sites) given in detail in Table 1. The best representative equation which allows estimating T_e is obtained with performing following steps:

- An exponential type formulation is adopted:

$$T_e = a \cdot T_s \cdot e^{b \cdot (T_s / T_1)} \quad (2)$$

- A statistical regression is performed comparing the values of T_e obtained by time history analyses of %5 damped SDOF systems with those given by the proposed formulation.
- The best coefficients minimizing the standard error are selected.

Where T_1 is the transition period between the acceleration-controlled and velocity-controlled response spectrum and T_s is the period at which the peak value of %5 damped spectral pseudo velocity occurs.

The transition period T_1 can be estimated by considering relation between idealized pseudo-velocity and pseudo-acceleration response spectra (Fig. 2) [4]. It is clear that the peak values of spectral responses do not always occur at the same period. However, the period obtained from Eq. 3 could take close values with characteristic period.

$$T_1 = 2\pi \frac{\text{PSV}_{\text{maks}}}{\text{PSA}_{\text{maks}}} \quad (3)$$

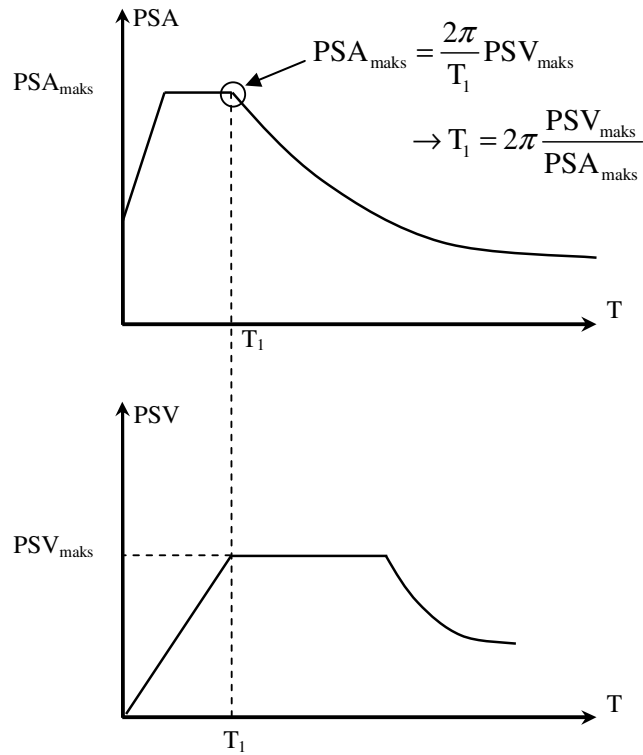


FIGURE 2. Idealized linear elastic response spectra.

TABLE 1. Records used in the regression analysis.

| Event | | Station name | ID ^{*1} | M ^{*2} | R _{epc} ^{*3} | SC ^{*4} | Vs30 ^{*5} | Owner |
|--------------------|------|-----------------------------|------------------|-----------------|--------------------------------|------------------|--------------------|---------|
| Big Bear-01 | 1992 | Rancho Cucamonga - Deer Can | 23598 | 6.5 | 69 | B | 822 | CDMG |
| Chi-Chi, Taiwan | 1999 | TAP065 | 99999 | 7.6 | 173 | B | 1023 | CWB |
| | | TAP077 | 99999 | 7.6 | 170 | B | 1023 | CWB |
| | | TCU085 | 99999 | 7.6 | 107 | B | 1000 | CWB |
| | | TTN042 | 99999 | 7.6 | 105 | B | 845 | CWB |
| Chi-Chi, Taiwan-05 | 1999 | TTN042 | 99999 | 6.2 | 92 | B | 845 | CWB |
| Denali, Alaska | 2002 | Carlo (temp) | Carl | 7.9 | 68 | B | 964 | ANSS/UA |
| Irpinia, Italy-01 | 1980 | Arienzo | 99999 | 6.9 | 77 | B | 1000 | ENEL |
| Loma Prieta | 1989 | Piedmont Jr High | 58338 | 6.9 | 92 | B | 895 | CDMG |
| | | Point Bonita | 58043 | 6.9 | 104 | B | 1316 | CDMG |
| | | SF - Pacific Heights | 58131 | 6.9 | 96 | B | 1250 | CDMG |
| | | SF - Rincon Hill | 58151 | 6.9 | 94 | B | 873 | CDMG |
| | | So. San Francisco, Sierra | 58539 | 6.9 | 84 | B | 1021 | CDMG |
| Morgan Hill | 1984 | Gilroy Array #1 | 47379 | 6.2 | 39 | B | 1428 | CDMG |
| Norcia, Italy | 1979 | Bevagna | 99999 | 5.9 | 36 | B | 1000 | ENEL |
| Northridge-01 | 1994 | Anacapa Island | 25169 | 6.7 | 77 | B | 822 | CDMG |
| | | Antelope Buttes | 24310 | 6.7 | 64 | B | 822 | CDMG |

Continued.

TABLE 1. *Continued.*

| Event | | Station name | ID ^{*1} | M ^{*2} | R _{epc} ^{*3} | SC ^{*4} | Vs30 ^{*5} | Owner |
|---------------------|------|-----------------------------|------------------|-----------------|--------------------------------|------------------|--------------------|-------|
| Northridge-01 | 1994 | Lake Hughes #4 - Camp Mend | 24469 | 6.7 | 50 | B | 822 | CDMG |
| | | Littlerock - Brainard Can | 23595 | 6.7 | 61 | B | 822 | CDMG |
| | | Mt Wilson - CIT Seis Sta | 24399 | 6.7 | 46 | B | 822 | CDMG |
| | | Rancho Cucamonga - Deer Can | 23598 | 6.7 | 90 | B | 822 | CDMG |
| | | Sandberg - Bald Mtn | 24644 | 6.7 | 62 | B | 822 | CDMG |
| | | Vasquez Rocks Park | 24047 | 6.7 | 38 | B | 996 | CDMG |
| | | Wrightwood - Jackson Flat | 23590 | 6.7 | 78 | B | 822 | CDMG |
| San Fernando | 1971 | Pasadena - Old Seismo Lab | 266 | 6.6 | 39 | B | 969 | USGS |
| Sierra Madre | 1991 | Vasquez Rocks Park | 24047 | 5.6 | 40 | B | 996 | CDMG |
| Whittier Narrows-01 | 1987 | LA - Wonderland Ave | 90017 | 6.0 | 28 | B | 1223 | USC |
| | | Vasquez Rocks Park | 24047 | 6.0 | 54 | B | 996 | CDMG |
| Big Bear-01 | 1992 | Newport Bch | 13160 | 6.5 | 118 | C | 405 | CDMG |
| Chi-Chi, Taiwan | 1999 | HWA029 | 99999 | 7.6 | 77 | C | 614 | CWB |
| | | HWA038 | 99999 | 7.6 | 69 | C | 643 | CWB |
| | | HWA046 | 99999 | 7.6 | 88 | C | 618 | CWB |
| | | ILA031 | 99999 | 7.6 | 132 | C | 649 | CWB |
| | | KAU012 | 99999 | 7.6 | 117 | C | 474 | CWB |
| | | TAP035 | 99999 | 7.6 | 140 | C | 438 | CWB |
| | | TAP052 | 99999 | 7.6 | 148 | C | 474 | CWB |
| | | TAP075 | 99999 | 7.6 | 160 | C | 553 | CWB |
| | | TTN025 | 99999 | 7.6 | 108 | C | 705 | CWB |
| | | TTN032 | 99999 | 7.6 | 90 | C | 474 | CWB |
| | | TTN044 | 99999 | 7.6 | 100 | C | 474 | CWB |
| | | TTN046 | 99999 | 7.6 | 107 | C | 474 | CWB |
| Drama, Greece | 1985 | Kavala | 99999 | 5.2 | 47 | C | 660 | ITSAK |
| Irpinia, Italy-01 | 1980 | Torre Del Greco | 99999 | 6.9 | 80 | C | 660 | ENEL |
| | | Tricarico | 99999 | 6.9 | 72 | C | 460 | ENEL |
| Kern County | 1952 | Pasadena - CIT Athenaeum | 80053 | 7.4 | 126 | C | 415 | CIT |
| | | Santa Barbara Courthouse | 283 | 7.4 | 88 | C | 515 | USGS |
| Landers | 1992 | Arcadia - Campus Dr | 90093 | 7.3 | 148 | C | 368 | USC |
| | | Glendale-Las Palmas | 90063 | 7.3 | 165 | C | 446 | USC |
| | | Glendora-N Oakbank | 90065 | 7.3 | 133 | C | 446 | USC |
| | | LA - Fletcher Dr | 90034 | 7.3 | 167 | C | 446 | USC |
| | | La Habra - Briarcliff | 90074 | 7.3 | 145 | C | 361 | USC |
| | | Puerta La Cruz | 12168 | 7.3 | 100 | C | 371 | CDMG |
| Landers | 1992 | Puerta La Cruz | 12168 | 7.3 | 100 | C | 371 | CDMG |
| Loma Prieta | 1989 | Berkeley LBL | 58471 | 6.9 | 98 | C | 597 | CDMG |
| | | Hayward - BART Sta | 58498 | 6.9 | 72 | C | 371 | CDMG |
| | | SF - Cliff House | 58132 | 6.9 | 99 | C | 713 | CDMG |

Continued.

TABLE 1. *Continued.*

| Event | | Station name | ID ^{*1} | M ^{*2} | R _{epc} ^{*3} | SC ^{*4} | Vs30 ^{*5} | Owner |
|---------------------|------|-------------------------------|------------------|-----------------|--------------------------------|------------------|--------------------|-------|
| Loma Prieta | 1989 | SF - Diamond Heights | 58130 | 6.9 | 92 | C | 583 | CDMG |
| | | SF - Presidio | 58222 | 6.9 | 98 | C | 594 | CDMG |
| | | SF - Telegraph Hill | 58133 | 6.9 | 97 | C | 713 | CDMG |
| | | Sunol - Forest Fire Station | 1688 | 6.9 | 62 | C | 401 | USGS |
| N. Palm Springs | 1986 | Anza - Tule Canyon | 5231 | 6.1 | 60 | C | 685 | USGS |
| | | Murrieta Hot Springs | 13198 | 6.1 | 66 | C | 685 | CDMG |
| | | Puerta La Cruz | 12168 | 6.1 | 76 | C | 371 | CDMG |
| | | Temecula - 6th & Mercedes | 13172 | 6.1 | 75 | C | 371 | CDMG |
| Northridge-01 | 1994 | Glendora - N Oakbank | 90065 | 6.7 | 62 | C | 446 | USC |
| | | Huntington Beach - Lake St | 13197 | 6.7 | 79 | C | 371 | CDMG |
| | | Newport Bch - Irvine Ave. F.S | 13160 | 6.7 | 88 | C | 405 | CDMG |
| | | Newport Bch - Newp & Coast | 13610 | 6.7 | 87 | C | 371 | CDMG |
| | | Palmdale - Hwy 14 & Palmdale | 24521 | 6.7 | 57 | C | 552 | CDMG |
| | | Rancho Palos Verdes - Hawth | 14404 | 6.7 | 53 | C | 478 | CDMG |
| | | Rancho Palos Verdes - Luconia | 90044 | 6.7 | 56 | C | 509 | USC |
| | | Riverside Airport | 13123 | 6.7 | 106 | C | 371 | CDMG |
| San Fernando | 1971 | Seal Beach - Office Bldg | 14578 | 6.7 | 66 | C | 371 | CDMG |
| | | Upland - San Antonio Dam | 287 | 6.6 | 75 | C | 446 | ACOE |
| | | Wrightwood - 6074 Park Dr | 290 | 6.6 | 72 | C | 486 | USGS |
| Whittier Narrows-01 | 1987 | Castaic - Old Ridge Route | 24278 | 6.0 | 77 | C | 450 | CDMG |
| | | Huntington Beach - Lake St | 13197 | 6.0 | 44 | C | 371 | CDMG |
| | | Leona Valley #5 - Ritter | 24055 | 6.0 | 63 | C | 446 | CDMG |
| | | Malibu - Las Flores Canyon | 90050 | 6.0 | 51 | C | 623 | USC |
| | | Moorpark - Fire Sta | 24283 | 6.0 | 78 | C | 405 | CDMG |
| Chi-Chi, Taiwan | 1999 | Pacific Palisades - Sunset | 90049 | 6.0 | 44 | C | 446 | USC |
| | | CHY065 | 99999 | 7.6 | 116 | D | 273 | CWB |
| | | KAU085 | 99999 | 7.6 | 119 | D | 261 | CWB |
| | | TAP026 | 99999 | 7.6 | 147 | D | 215 | CWB |
| | | TAP090 | 99999 | 7.6 | 156 | D | 324 | CWB |
| Dinar, Turkey | 1995 | TAP095 | 99999 | 7.6 | 158 | D | 215 | CWB |
| | | Cardak | 99999 | 6.4 | 50 | D | 339 | ERD |
| Friuli, Italy-01 | 1976 | Conegliano | 8005 | 6.5 | 90 | D | 275 | |
| Imp. Valley-06 | 1979 | Coachella Canal #4 | 5066 | 6.5 | 84 | D | 345 | USGS |
| Irpinia, Italy-01 | 1980 | Bovino | 99999 | 6.9 | 52 | D | 275 | ENEL |

Continued.

TABLE 1. *Continued.*

| Event | | Station name | ID ^{*1} | M ^{*2} | R _{epc} ^{*3} | SC ^{*4} | Vs30 ^{*5} | Owner |
|--------------------------|-------|----------------------------------|------------------|------------------------------|--------------------------------|------------------|--------------------|-------|
| Irpinia, Italy-02 | 1980 | Mercato San Severino | 99999 | 6.2 | 48 | D | 350 | ENEL |
| Kern County | 1952 | LA-Hollywood S.FF | 24303 | 7.4 | 118 | D | 316 | CDMG |
| Kobe, Japan | 1995 | HIK | 99999 | 6.9 | 136 | D | 256 | |
| Kocaeli, Turkey | 1999 | Atakoy | 99999 | 7.5 | 100 | D | 275 | ITU |
| | | Botas | 99999 | 7.5 | 171 | D | 275 | KOERI |
| | | Cekmece | 99999 | 7.5 | 108 | D | 346 | KOERI |
| | | Fatih | 99999 | 7.5 | 94 | D | 339 | KOERI |
| | | Zeytinburnu | 99999 | 7.5 | 95 | D | 275 | ITU |
| Landers | 1992 | Amboy | 21081 | 7.3 | 75 | D | 271 | CDMG |
| | | Boron Fire Station | 33083 | 7.3 | 143 | D | 345 | CDMG |
| | | Burbank - N Buena Vista | 90012 | 7.3 | 174 | D | 271 | USC |
| | | Compton - Castlegate St | 90078 | 7.3 | 166 | D | 309 | USC |
| | | Fort Irwin | 24577 | 7.3 | 121 | D | 345 | CDMG |
| | | Fountain Valley - Euclid | 90002 | 7.3 | 149 | D | 270 | USC |
| | | LA - Obregon Park | 24400 | 7.3 | 162 | D | 349 | CDMG |
| | | LB - Orange Ave | 90080 | 7.3 | 164 | D | 270 | USC |
| | | Lakewood - Del Amo Blvd | 90084 | 7.3 | 158 | D | 235 | USC |
| | | Pomona - 4th & Locust FF | 23525 | 7.3 | 122 | D | 230 | CDMG |
| | | San Bernardino - E & Hospitality | 23542 | 7.3 | 80 | D | 271 | CDMG |
| | | Tarzana - Cedar Hill | 24436 | 7.3 | 193 | D | 257 | CDMG |
| | | Lazio-Abruzzo, Italy | 1984 | Garigliano-Centrale Nucleare | 99999 | 5.8 | 51 | D |
| Loma Prieta | 1989 | Oakland - Outer Harbor Wharf | 58472 | 6.9 | 94 | D | 249 | CDMG |
| | | Oakland - Title & Trust | 58224 | 6.9 | 92 | D | 306 | CDMG |
| | | Olema - Point Reyes Station | 68003 | 6.9 | 138 | D | 339 | CDMG |
| | | Richmond City Hall | 58505 | 6.9 | 107 | D | 260 | CDMG |
| Manjil, Iran | 1990 | Rudsar | 99999 | 7.4 | 87 | D | 275 | BHRC |
| Morgan Hill | 1984 | Los Banos | 56012 | 6.2 | 80 | D | 271 | CDMG |
| | | SF Intern. Airport | 58223 | 6.2 | 71 | D | 190 | CDMG |
| N. Palm Springs | 1986 | Anza Fire Station | 5160 | 6.1 | 50 | D | 339 | USGS |
| | | Colton Interchange - Vault | 754 | 6.1 | 64 | D | 275 | CDOT |
| | | Indio - Coachella Canal | 12026 | 6.1 | 53 | D | 345 | CDMG |
| Northridge-01 | 1994 | Anaheim - W Ball Rd | 90088 | 6.7 | 70 | D | 235 | USC |
| | | Baldwin Park - N Holly | 90069 | 6.7 | 55 | D | 309 | USC |
| | | Brea - S Flower Av | 90087 | 6.7 | 69 | D | 309 | USC |
| | | Featherly Park - Maint | 13122 | 6.7 | 86 | D | 309 | CDMG |
| | | Hemet - Ryan Airfield | 13660 | 6.7 | 151 | D | 339 | CDMG |
| | | Huntington Bch - Waikiki | 90083 | 6.7 | 71 | D | 235 | USC |
| | | San Bernardino | 23542 | 6.7 | 117 | D | 271 | CDMG |
| San Jacinto-CDF Fire Sta | 12673 | 6.7 | 154 | D | 271 | CDMG | | |

Continued.

TABLE 1. Continued.

| Event | Station name | ID ^{*1} | M ^{*2} | R _{epc} ^{*3} | SC ^{*4} | Vs30 ^{*5} | Owner |
|---------------------|--|------------------|-----------------|--------------------------------|------------------|--------------------|-------|
| Northridge-01 | 1994 Tustin - E Sycamore | 90089 | 6.7 | 86 | D | 235 | USC |
| | Loma Linda; VA Hospital, North Freefield | 5229 | 6.7 | 121 | D | 275 | USGS |
| | Loma Linda; VA Hospital, South Freefield | 5229 | 6.7 | 121 | D | 275 | USGS |
| San Fernando | 1971 Gormon - Oso Pump Plant | 994 | 6.6 | 50 | D | 308 | CDWR |
| Whittier Narrows-01 | 1987 Lancaster - Med Off FF | 24526 | 6.0 | 71 | D | 271 | CDMG |
| | Rosamond - Goode Ranch | 24274 | 6.0 | 88 | D | 271 | CDMG |

*¹ ID: Station ID number

*² M: Moment magnitude of earthquake

*³ R_{epc}: Distance from the recording site to epicenter

*⁴ SC: NEHRP Site Classification

*⁵ Vs30: Average shear wave velocity down to 30m depth (m/s)

Examples of the computation of the periods T_1 and T_s for a ground acceleration record (Gilroy Array #1 ground acceleration record – G01230 horizontal component, Morgan Hill Earthquake, 1984) are shown in Fig. 3. Characteristic period is computed $T_e=0.24s$ by performing time history analysis to SDOF systems while the transition period estimated by Eq. 3 is $T_1=0.16s$ and the period at which the peak value of spectral pseudo velocity occurs is $T_s=0.32s$.

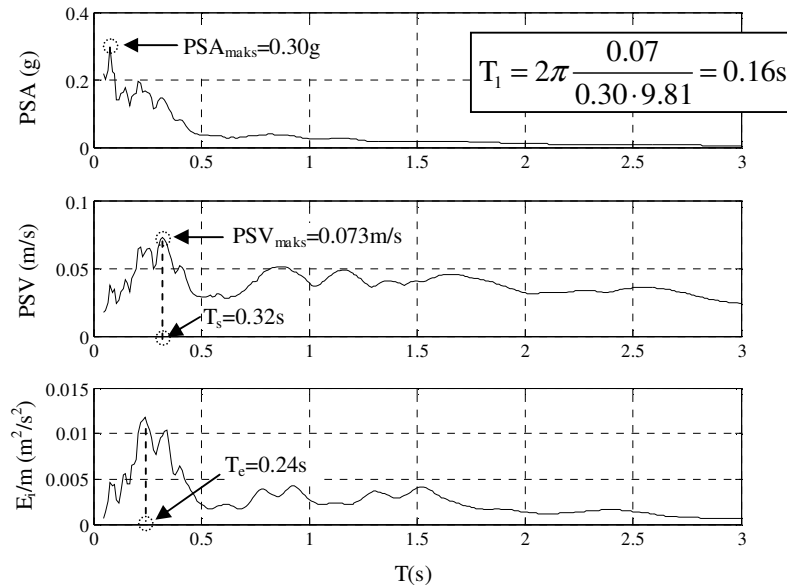


FIGURE 3. Response spectra for Gilroy Array #1 ground acceleration record (G01230 horizontal component, Morgan Hill Earthquake, 1984), $\xi=0.05$.

As a result of regression analysis, Eq. 4 which estimates T_e is obtained. The proportion of variance accounted for proposed equation is $R^2=0.82$ and the standard error is $SE=0.23$. The coefficients are given in Table 2 with their standard errors and the predicted and observed values are drawn in Fig. 4.

$$T_e = 1.23 \cdot T_s \cdot e^{-0.18 \cdot (T_s / T_1)} \quad (4)$$

TABLE 2. Statistical parameters.

| Coefficient | Predicted value | Standard Error | t-value |
|-------------|-----------------|----------------|---------|
| a | 1.23 | 0.039 | 31 |
| b | -0.18 | 0.016 | -11 |

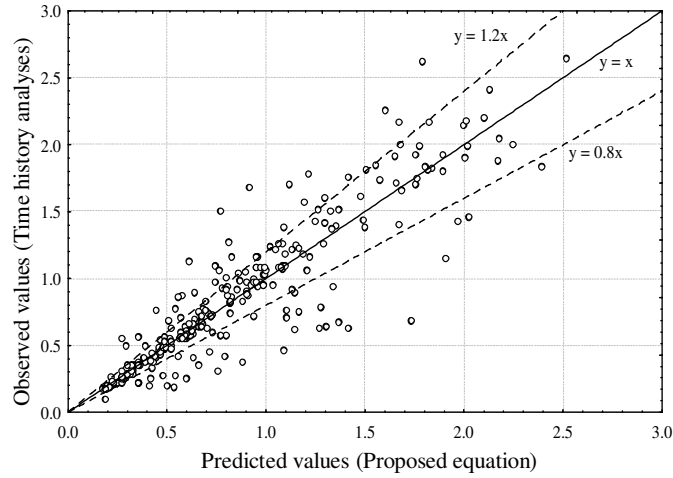


FIGURE 4. T_e values.

Comparison with Different Approaches

Chai et al. [3] assumed that the characteristic period corresponds to the transition period T_1 , and they estimated the transition period by Eq. 5 proposed by Vidic et al. [5].

$$T_1 = 2\pi \frac{c_v}{c_a} \frac{PGV}{PGA} \quad (5)$$

Where c_v corresponds to the ratio of the spectral elastic response velocity to peak ground velocity in the velocity-controlled (medium) period range, and c_a corresponds to the ratio of the spectral elastic response acceleration to peak ground acceleration in the acceleration-controlled (short) period range. Chai et al. [3] assumed c_a and c_v as 2.0 and 2.5, respectively, proposed by Chai et al. [6]. Furthermore, many researchers [3,6,7,8] have estimated seismic energy by assuming that the transition period proposed by Vidic et al [5] can be considered as the characteristic period at which the peak value of input energy occurs. Thus, the proposed equation in this paper is needed to compare with the Eq. 5 proposed by Vidic et al. [5].

Fajfar et al [9] estimated the transition period T_1 by Eq. 6 proposed by Heidebrecht:

$$T_1 = 4.3 \frac{PGV}{PGA} \quad (6)$$

Miranda and Garcia [10] estimated the predominant period of ground motion using the approach proposed by Miranda [11] in which the predominant period of the ground motion is defined as the period at which the peak value of spectral velocity occurs.

In Fig. 5, Fig. 6 and Fig. 7, the value of the transition periods calculated by Eq. 5, Eq. 6 and the T_s periods proposed by Miranda are drawn for all records given in Table.1, respectively, in comparison with the values of characteristic periods obtained from time history analyses. Standard errors for each approaches and for proposed equation in this paper is given in Table. 3.

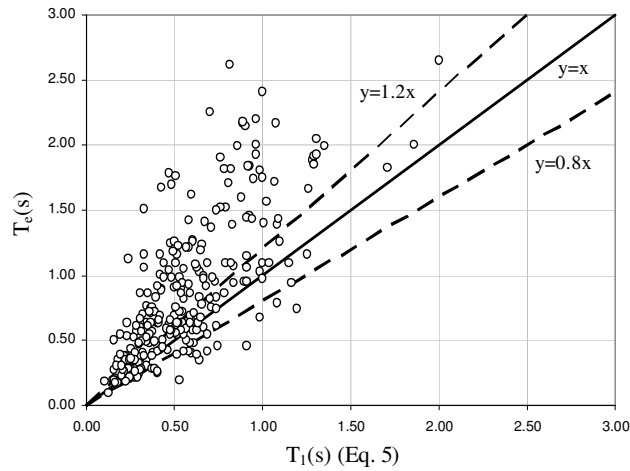


FIGURE 5. T_1 (obtained by Eq. 5) and T_c .

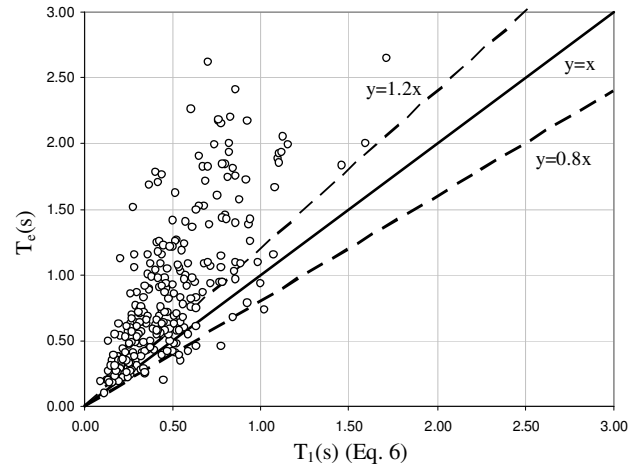


FIGURE 6. T_1 (obtained by Eq. 6) and T_c .

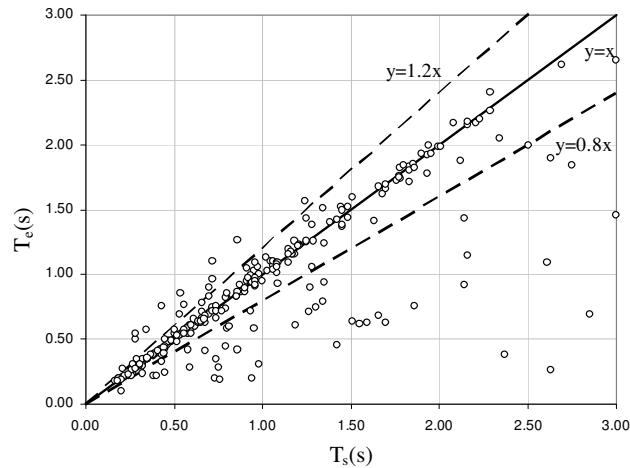


FIGURE 7. T_e vs T_s .

TABLE 3. Standard errors.

| | Proposed Eq. 4 | Eq. 5 | Eq. 6 | T_s |
|----------------|----------------|-------|-------|-------|
| Standard Error | 0.23 | 0.38 | 0.40 | 0.35 |

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